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UNITED STATES DISTRICT COURT

CENTRAL DISTRICT OF CALIFORNIA

WESTERN DIVISION

ALEXIS HOLYWEEK SAREI, et al.,

Plaintiffs,

V.

RIO TINTO, plc. et al.,

Defendants.

No. 00-11695 MMM AIJx

DECLARATION OF YAUKA ALUAMBO LIRIA

- I, Yauka Aluambo Liria, hereby declare as follows:
- 1. My name is Mr. Yauka Aluambo Liria of Tunda village,
 Pangia District, Southern Highlands Province, Papua New Guinea. I
 currently reside in Port Moresby, Papua New Guinea.

DECLARATION OF YAUKA ALUAMBO LIRIA - 1 Case No. 00-11695 MMM AIJX

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2. I served in the PNG Defense Force (PNGDF) from 1981 to August 1993. Over the period May - September 1989, I served in the Bougainville military operations as the Contingent Intelligence Officer based at the Panguna mine site township during the first deployment of troops and at the onset of the Bougainville crisis in 1989. In 1993 I published a book entitled 'The Bougainville Campaign Diaries' based on my experiences at Panguna as an Intelligence Officer for the PNGDF.

- 3. Between early 1999 and early 2000, I was employed by the Department of Prime Minister and National Executive Council (Dept of PM & NEC) of the Papua New Guinea Government. More specifically, I was employed by the National Intelligence Organization (NIO), which is a division of the Dept of PM & NEC. In NIO, I was employed as a "Research Officer". In NIO I was the officer responsible for working on all Bougainville Crisis matters, including general research work, Peace Talks processes, BRA activities and generally monitoring and assessing all matters and developments relating to the Bougainville Peace Process during that time.
- 4. The first section of this statement describes the conduct and activities I saw or personally participated in during the military operations over the period of May-September 1989. The second section of this statement describes the effect this litigation has had and could have on the implementation of the Bougainville Peace Agreement based on my experiences.

Military Operation

DECLARATION OF YAUKA ALUAMBO LIRIA - 2 Case No. 00-11695 MMM AIJX

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The military operations on Bougainville were carried out by the PNGDF and the Royal PNG Constabulary (PNGGC).

The list below shows how Bougainville Copper Limited 6. (BCL) was actively involved in supporting and/or facilitating the PNGDF's and RPNGC's military operations against the Bougainville people.

> BCL provided the building up of all their facilities (e.g. telephone and electricity) for the "command post" (or the tactical headquarters) of the PNGDF Task Force in Panguna. The Battalion headquarters was set up inside a BCL building No. Block 4 at the top floor. In this building, we were also provided with BCL telephone services for speedy communications with army higher headquarters at Murray Barracks, Port Moresby and BCL washing machines for laundry services. This building was also used to accommodate the tactical headquarters of staff, which numbered some twenty to thirty personnel. The floors 1-3 were used by other staff and workers of BCL, including contracted expatriate workers. We, the PNGDF, were sharing the BCL building with the BCL workers.

The provision of this building (Block No.4) was important for the military operations because it provided reliable telephone and facsimile communications to army headquarters at Murray Barracks in Port Moresby; it provided personal

DECLARATION OF YAUKA ALUAMBO LIRIA - 3 Case No. 00-11695 MMM AIJx

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safety and comfort to headquarter troops at Panguna, which improved morale and effected on the overall successful workings of the tactical headquarters at Panguna; and it provided security by the presence of the BCL workers and the physical structure of the building and lighting, which improved morale and effected on the overall successful workings of the tactical headquarters at Panguna.

BCL also provided other <u>buildings to accommodate</u>
troops returning from field and combat operations,
either wounded or for rest and recuperation. BCL
also provided buildings for our <u>logistics support</u>
unit to store and issue on demand critical combat
supplies including ammunition, combat clothing and
equipment, rations, medical kits, tents and assorted
combat supplies. These facilities included Kusito
camp (for troop accommodations) and Camp 10 (for
logistic support unit).

Three BCL messes were made available for the PNGDF troops at Panguna to use. They included Kawerong Mess, Kusito Mess, and another mess near Panguna Community School. Messes were used together with the BCL workers and staff. (Troops did not pay for the meals).

This was an important BCL support for the PNGDF operations. Excellent BCL accommodation and food significantly boosted the troop's morale.

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Many <u>BCL</u> vehicles were used for mobile patrols around Panguna and the vicinity and also for the combat operations against the Bougainville rebels. We at the tactical headquarters had several BCL vehicles and each rifle company (combat fighting group) had one BCL supplied vehicle allocated to them for their operational use.

BCL also supplied <u>fuel</u> (petrol and diesel) for all the vehicles we used at Panguna. We refilled at the BCL pump stations at Loloho and at Panguna (on the hill near camp 10). We, the soldiers, never paid for refueling.

The BCL communications system at Panguna was also made available for the military operations. This included BCL telephones, facsimile machines, photocopiers and "walkie-talkie" radios. Walkie-talkie radios provided extremely useful, tactically, for close-range communications to the scattered patrols around the Panguna military operational area.

BCL Hospital - BCL's hospital at the Panguna mine site was also made available for the military to use. This facility gave life-saving treatment for wounded troops (in combat around Panguna mine).

Troops wounded in combat were rescued by BCL

supplied vehicles or helicopters and rushed to this

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hospital for recovery and sent back to the battle fields when well enough.

BCL Helicopters - BCL also assisted the military operations by providing its helicopters for military "combat operations", which included field reconnaissance trips, casualty evacuation, troop insertion into combat zones, troop extraction from field to Panguna, and supply of combat critical supplies (e.g. ammunition) to troops in the fields around Panguna. BCL helicopters were flown by BCL pilots, using the BCL Helipad below Camp 10 (at Panguna) and supported by staff of the helicopter division who were all BCL workers or companies contracted by BCL.

Secretarial Work - BCL headquarters at a place called "Pink Palace" at Panguna also provided secretarial work for the Tactical Headquarters. For example, my intelligence reports were typed out by the BCL girls in the "Pink Palace". BCL administration managed and gave the instructions for the secretaries to do our work (secretarial work).

Involvement of BCL Staff - Some of the BCL staff who were directly involved in the military operations were helicopter pilots and BCL Panguna hospital staff. BCL helicopter staff were involved in all aspects of field operations and support, including supply of ammunition, combat operations, casualty

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evacuation (of troops) by helicopters and their treatment of sick and wounded troops at Panguna. I was personally aware of or personally participated in all the events and activities listed above, which involved BCL. I was aware of and participated in them as a result of my position as the Task Force Intelligence Officer in Panguna over May to September 1989.

- 7. As described above, and based on other forms of assistance from BCL and my experience as a PNG military officer, it is my opinion that the PNGDF operations at Panguna would have had significant constraints without BCL's active participation. From an operational perspective, BCL's involvement made all of the difference.
- Intelligence Officer and also as the Assistant Operations Officer based at Panguna, and being aware of the above BCL assistance and of the national debate going on in the country (within the context of the Bougainville crisis and its economic and social implications on the small under-developed country), I formed the following opinion and conclusions:
 - that the re-opening of the BCL's Panguna mine was critical to the economic survival of the country;
 - 2. that the prosecution of military operations against the Bougainville Republican Army rebels and the Bougainville population (from where the rebels

DECLARATION OF YAUKA ALUAMBO LIRIA - 7 Case No. 00-11695 MMM AIJx

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emerged from) was a crucial mission which the military had to achieve successfully;

- 3. that the BRA rebels had to be defeated militarily, so that the Panguna mine could re-open;
- that the military on Bougainville were under extreme pressure from the national Government leaders for the BRA to be defeated, so that the Panguna mine could be re-opened as soon as possible;
- that the pressure exerted by the national Government leadership was a product of the extreme pressure from BCL management for the mine to be re-opened as soon as possible;
- that the logistical assistance provided by BCL management at Panguna to the military were significant for combat support. They helped in significantly raising the combat power of the PNG military in its operations against the Bougainville rebels.

The Bougainville Peace Process

9. During the period I was employed by the NIO, I attended many of the Peace Process Consultative Committee meetings in Bougainville between the PNG Government side and the combined Bougainvillean side. These meetings were held at the "working committee" levels and this Committee actually did much of the research and groundwork, including consultations between the two sides before the Leaders of PNG Government and Leaders of

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Bougainville could meet to discuss matters of substance, and move forward after each meeting.

- 10. As a result of this work, I became very conversant with the Bougainville Peace Processes and contributed towards reaching the milestones we have reached so far. The Bougainville Peace Talks have concluded successfully. After some 4 years of extensive negotiations the Bougainville Peace Agreement was signed on 30 August 2001.
- 11. As I understand it the litigation commenced in September 2000. This was at a crucial stage in the finalization of the Peace Agreement and I do not believe it had any affect on the process. I have not heard any reports that the commencement of the litigation has in anyway protracted the peace process. Further, the Peace Agreement concerns three objectives that are unaffected by this litigation: to constitutionally guarantee a referendum on Bougainville Independence deferred for 10 to 15 years; the grant of autonomy on decisions relating to land, environment and foreign investment in the intervening period; and a plan for the disposal of weapons. In contrast, the litigation concerns the involvement of Rio Tinto and its affiliates in the past operation of the mine and supporting and maintaining the civil war. Rio Tinto has not participated in the peace process and they will have no role to play in the implementation of the Peace Agreement.
- I, Yauka Aluambo Liria, declare under penalties of perjury under the laws of the United States that the foregoing is true and correct.

DATED: November 12, 2001, and signed at fort Moraby

Yauka Aluambo Liria

DECLARATION OF YAUKA ALUAMBO LIRIA - 10 Case No. 00-11695 MMM AIJx

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2. I was born on Karkar Island, Madang province PNG on 5 May 1956.

- 3. I first joined the PNG military on 5 January 1975. Since that time I have held the ranks from Second Lieutenant to Major General of the PNG Defence Force. To date, I hold the highest rank within the PNG Defence force.
- 4. In 1989 I was the operations officer at the rank of Major on Bougainville. Whilst I was there I was directed by the hierarchy of the military that I was to liase directly with BCL management on any requirements that may be needed to assist with the military operations.
- 5. I was recalled back to Bougainville from Australia in 1993 to take over all military operations on Bougainville in the role as Operations Officer, as by then the Bougainville rebels had increased their presence on Bougainville.
- 6. In 1994 I became contingent commander of all operations on Bougainville. I was promoted to the rank of Lieutenant Colonel, which gave me control of all military operations in Bougainville.
- 7. In 1991 I was appointed commander and promoted to the rank of Brigadier General, specifically to devise a military solution to the Bougainville conflict.
- 8. Further to the above, I served as an high ranking officer of the PNG Defence Force (PNGDF) at all times relevant to the matters of this action.
- 9. The first section of this statement describes the conduct and activities I saw or personally participated in during the crisis. The second section of the statement describes the effect this litigation has had and could have on the implementation of the

DECLARATION OF GENERAL SINGIROK MADE IN OPPOSITION TO RIO TINTO'S MOTION TO

DISMISS - 2

Case No. 00-11695 MMM AIJX

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Bougainville Peace Agreement, based on my information, knowledge

Military Operation:

- The military operations at issue on Bougainville were carried out by the PNGDF and the Royal PNG Constabulary (PNGGC). Though the actions were executed in large part by the PNGDF, the actions were undertaken in PNG's private capacity as BCL's joint venture partner at the Panguna mine. In short, and for all practical purposes, the PNGDF were the corporation's personal security force and were ordered by BCL to take action to reopen the mine by any means necessary.
- BCL's demand to reopen the mine by any means necessary 11. invoked a well-known military command to take all force necessary and includes the ordered killing of individuals where necessary to achieve the objective.
- In addition to demanding PNGDF involvement, BCL actively participated in the combat efforts to quell the uprising and reopen the mine. For example, BCL provided the infrastructure and bases for the operations, including the command post, battalion headquarters, essential high-speed and mobile communications, and troop barracks. BCL also provided the logistical support to store and issue combat supplies including ammunition and gear! provided food and rations. BCL quartered the troops. BCL provided medical facilities. BCL provided troop transport vehicles for the patrol and combat operations. BCL provided the fuel (petrol and diesel) for the operations. BCL also provided one helicopter, which was used a gunship, the heli-pad and a few helicopter pilots to assist in the combat operations, field reconnaissance, casualty

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evacuation, troop insertion and extraction, and supply of critical supplies (e.g. ammunition) to troops in the fields around Panguna.

- As the actions taken by the PNGDF were part of its joint operation with BCL, PNG did not pay for BCL's assistance.
- 14. As described above, based on other forms of assistance and my experience as the PNG military commander, it is my opinion that the PNGDF operations at Panguna: (1) would not have occurred without BCL's demand that the government take all necessary action to reopen the mine; (2) the actions taken were undertaken as the private security force for BCL in the joint commercial venture and were not government actions taken to benefit anyone other than BCL; and (3) that without BCL's participation in the execution and planning, no combat operation would have been feasible, let alone undertaken. The issues underlying the Bougainville conflict never countenanced a military solution: they are and have always been matters between the local landowners and the mine.
- BCL is also the reason that the naval blockade around Bougainville Island was instituted. Without divulging matters that are of a very sensitive nature, the blockade was initiated and sustained, just as other operations were, as a security measure to reopen the mine.
- I was instructed by the Government of the day to engage Sandline - a UK based Company - to assist in the military operations. PNG hired Sandline because although the local uprising had been contained, the mine had not yet been reopened. Again, PNG took this action because the government and its business partner were interested in re-opening the Panguna mine to reap the profits that the mine produced at the expense of human lives.

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17. The PNGDF refused to increase the arsenal being used against the uprising as the PNGDF were adequately containing the relative threat on the ground and any increase would have been disproportionate and resulted in an unethical and inappropriate continued destruction of additional human lives. Indeed, I called off the operations.

- 18. I realized the Sandline operations violated fundamental human rights and I decided to forcefully evict Sandline from the country and opted for a peaceful solution, with a view to resolving the conflict on Bougainville.
- 19. My opinions on the immoral nature of the Sandline hiring and involvement in Bougainville are matters of public record. I am presently faced with sedition charges for voicing these opinions and taking action!
- What is less well-known is BCL's involvement in the Sandline affair.

The Bougainville Peace Process:

- 21. I am conversant with the Bougainville Peace Processs and have contributed towards reaching milestones reached to date. The Bougainville Peace talks have concluded successfully. After some 4 years of extensive negotiations, the Bougainville Peace Agreement was signed on 30 August 2001.
- 22. As I understand it, the litigation commenced in September 2000. This was at a crucial stage in the finalization of the peace process.
- 23. I have not heard any reports that the commencement of the litigation has in any way protracted the peace process. Further, the peace agreement concerns 3 objectives that are unaffected by

DECLARATION OF GENERAL SINGIROK MADE IN OPPOSITION TO RIO TINTO'S MOTION TO DISMISS 1337.10 0025 DSC.DOC Case No. 00-11695 MMM AIJx

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this litigation: to constitutionally guarantee a referendum on Bougainville independence deferred for 10 to 15 years; the grant of autonomy on decisions relating to land, environment and foreign investment in the intervening period; and a plan for the disposal of weapons.

24. In contrast, the litigation as I understand it concerns the involvement of Rio Tinto and its affiliates in the past operation of the mine and supporting and maintaining the civil war. Rio Tinto has not participated in the peace process and I understand they will have no role to play in the implementation of the peace agreement.

I, Jerry Singirok, declare under penalties of perjury under the laws of the United States that the foregoing is true and correct.

DATED: November 30, 2001, and signed at Pons punish

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[Names of Additional Counsel on Signature page]

UNITED STATES DISTRICT COURT

CENTRAL DISTRICT OF CALIFORNIA

WESTERN DIVISION

ALEXIS HOLYWEEK SAREI, et al.,

Plaintiffs,

Defendants.

No. 00-11695 MMM AIJx

DECLARATION OF SIR MICHAEL SOMARE, FORMER PRIME MINISTER OF PAPUA NEW GUINEA

I, Sir Michael Somare, hereby declare as follows:

My Background and Experience

- 1. I am the former Prime Minister of Papua New Guinea I am over the age of twenty-one and have personal knowledge of and am competent to testify to the matters stated below.
- I was the first Prime Minister of PNG and served in that capacity from September 16, 1975 to March 11, 1980, and again from

DECLARATION OF MICHAEL SOMARE, FORMER PRIME MINISTER OF PAPUA NEW GUINEA - 1 Case No. 00-11695 MMM AIJx 1337.10 0022 DSC.DOC

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 August 2, 1982 to November 21, 1985. Prior to becoming the first Prime Minister of PNG, I have served as a member of Parliament from 1968 until the present day.

- 3. I am one of the principal architects of PNG's -- Constitution.
- 4. Additionally, I have served PNG in the following official capacities: In 1973 I acted as First Chief Minister in the PNG Government which was then under Australian adminstration; In 1999 I was appointed as minister responsible for Foreign Affairs and Bougainville, during that time I was also Minister for Mines.

The Relationship Between BCL and the Government of PNG

- 5. Through BCL, Rio Tinto exerted and exercised significant control over the government's actions on Bougainville.
- 6. PNG was BCL's minority partner at the Panguna mine. As the minority partner, the government took direction from BCL. The government coordinated with BCL (i.e., Rio Tinto) on all activities related to Bougainville, the mine and the government's efforts to reopen the mine. This was the way business at the mine was conducted; BCL gave the orders and the government executed them with the company's assistance and cooperation.
- 7. As BCL's partner at the Panguna mine, one of the PNG government's primary responsibilities was maintaining the security to ensure the continued operation of the mine. Strong security measures were needed in light on the local and long-standing opposition to the mine.
- 8. The mining operations at Panguna were a critical part of the PNG government's commercial endeavors. PNG was BCL's minority

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partner at the Panguna mine, and whenever government action was called for on Bougainville, BCL was the one that requested it.

- 9. None of the actions taken by the PNG government concerning the allegations in this litigation were taken for any purpose other than as part of a private, profit—making commercial enterprise. More specifically, the actions taken by PNG to reopen the mine were not done for any public benefit, except derivatively as the money the government made in its joint venture with BCL would trickle down to benefit the PNG citizenry.
- 10. Rio Tinto understood that its instructions to the PNG government concerning or affecting the mine would be followed, as that had always been the case when it came to matters involving the Panguna mine.
- 11. Furthermore, because of the economic needs of the government, BCL knew the PNG government would take strong action to reopen the Panguna mine. In fact, after the mine was shut down, BCL pressured the PNG government to ensure that the government would do everything in its power to reopen the mine by threatening to pull all of its investments out of the country entirely. At the time, and throughout the 1980s and 1990s, Rio Tinto was investing heavily in PNG, in exploration, reinvestments in Panguna, the planned "Hidden Valley" project and the gold mine on Lihir Island. Rio Tinto's continued investment in PNG was vital to the government: the continued viability of the government, and derivatively the welfare of PNG citizens, was dependent on revenue from the Panguna mine.
- 12. In order to prevent Rio from abandoning all of its investments in PNG, the government complied with Rio Tinto's

DECLARATION OF MICHAEL SOMARE, FORMER PRIME MINISTER OF PAPUA NEW GUINEA - 3

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demands. These demands included, as the plaintiffs' complaint alleges, the demand that the medical blockade continue until the government had "starved the bastards out" and the mine was Had BCL not directed PNG to initiate the blockade and continue it, the blockade would not have occurred, or last for the many years that it did.

In sum, because of Rio Tinto's financial influence in PNG, the company controlled the government. The government of PNG followed Rio Tinto's instructions and carried out its requests. The actions taken by PNG in an effort to reopen the Panguna mine and suppress the local rebellion are examples of where PNG followed Rio Tinto's instructions. The actions taken by PNG were done to further a private commercial enterprise so that BCL and PNG could continue to reap the large profits from their joint business venture.

BCL's Was Involved in the Atrocities

- 14. BCL was also directly involved in the military operations on Bougainville, and it played an active role. BCL supplied helicopters, which were used as gun ships, the pilots, troop transportation, fuel, and troop barracks.
- 15. BCL's involvement in the atrocities alleged in this litigation was much more than direct participation. BCL; the mine and the large profits its operation generated, were the reason for the actions.
- Even before the bloodshed began, BCL knew bloodshed was likely to occur because it instructed the government of PNG to reopen the mine "by whatever means necessary." It was thus my understanding (and the common understanding) that BCL supported and

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ordered PNG's military involvement, including the inception and continuation of the blockade, to achieve the desired result of reopening the mine and restarting this highly profitable commercial venture.

- Over the years, I had several meetings with BCL officials about Bougainville and the Panguna mine. At one meeting between a senior representative from Rio Tinto, BCL's manager, myself and others, we discussed the killings and injuries that had occurred in the fighting to reopen the Panguna mine. During the course of the discussions, the representative from Rio Tinto instructed us as the government of PNG to continue the blockade and fight Bougainvilleans until the mine was reopened.
- Ultimately, it is my opinion that absent Rio Tinto's mining activity on Bougainville or its insistence that the Panguna mine be reopened, the government would not have engaged in hostilities or taken military action on the island. Although there is a historic tension between Bougainvilleans and the government of PNG, the tension would never have lead to 4 civil war without Rio Tinto and its command that the government take all necessary action to reopen the mine.

The Peace Process and this Litigation

As the Court is aware, a peace agreement has been 19. reached over the Bougainville conflict. Negotiations between representatives of the PNG government and the people of Bougainville began in earnest in July 1997, and concluded with the signing of the Bougainville Peace Agreement at Arawa, Bougainville on August 30, 2001. These negotiations were not affected (and have never been affected) by the existence of the litigation before this

 Court, which was brought by residents of Bougainville against Rio Tinto and its affiliate in September 2000. At no time did Rio Tinto or its affiliates participate in the negotiations. Further, Rio Tinto and its affiliates have not been an active participant in the negotiations and, to my knowledge, they have no legal interest in the subjects negotiated. To be sure, Rio Tinto and its officers (just as all people who conduct business on Bougainville) will benefit from the peace agreement, including they might receive amnesty from criminal prosecution under PNG's criminal laws for their participation in the atrocities. However, no provision in the peace agreement addresses or resolves any civil liability or international law claim, which I understand are the issues in this litigation.

agreement will not be affected by this litigation. The steps that remain for implementation of the Peace Agreement involve changes to the PNG Constitution, which will provide for a referendum on Bougainville Independence in 10-15 years time; the disposal of weapons, and taking necessary steps for the establishment of certain autonomous political structures between now and the referendum. These are steps that will occur at political levels and involve marshalling public support for the Peace Agreement. Rio Tinto and its affiliates will not be involved in these activities, and such activities will not be affected by the continuation of this litigation.

I was recently made aware of the PNG government's representations to the US State Department about this 'litigation. I

DECLARATION OF MICHAEL SOMARE, FORMER PRIME MINISTER OF PAPUA NEW GUINEA - 6
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find these representations offensive and inaccurate. I believe that these recent turn of events would not have taken place without Rio Tinto's application of some influence upon government representatives. I form this view given the experience I have had with Rio Tinto and BCL's representatives in the past, in addition, I have been reliably informed that Rio Tinto did send their representatives to make representations at the highest political and administrative levels. I, Sir Michael Somare, declare under penalties of perjury under the laws of the United States that the foregoing is true and correct. November 23 2001, and SIR MICHAEL SOMARE

DECLARATION OF MICHAEL SOMARE, FORMER PRIME MINISTER OF PAPUA NEW GUINEA - 7
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